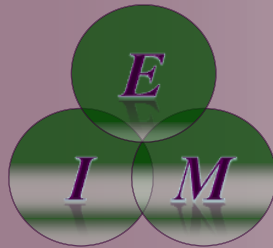

Investment Opportunities with



Equilibrium Investment Management

*A balance between return, risk and
capital preservation*

Investment advisory services offered through Uhlmann Investment
Management, LLC

Independent Conservative Objective Client focused

Experience:

Alan Delcorse, Managing Director

- Extensive investment experience
 - Over 25 years in the investment industry
 - Managed investments or consulted for a variety of institutional clients and high net -worth Individuals
 - Traditional portfolio management at Merrill Lynch
 - Asset allocation, diversification and risk management
- In-depth knowledge of non-traditional investments
 - Investments that go beyond stocks, bonds and cash
 - Enhanced asset allocation, greater diversification, non-correlating investments
 - Developed at world-class, leading investment firms
- Conservative investment style
 - “Long-term Optimistic, but short term concerned”
 - Special attention to capital preservation
- Equilibrium Investment Management
 - Manage portfolios with the goal of achieving greater return with less risk

Partners/Resources,

History, reputation and long-term experience:

- Uhlmann Investment Management, wholly-owned by:
- Uhlmann Price Securities, LLC.
 - **Fred Uhlmann**- founder, owner-Former Chairman of the Chicago Board of Trade
 - **Tom Price**- owner- highly regarded in the futures and securities industry with over 40 years of experience
- Custodian services through a subsidiary of the Royal Bank of Canada(RBC)*:
 - 6th largest bank in North America and maintains a Moody's rating of Aaa
- Investment Team: Alan Delcorse, Alan Konn, Myles Wagner and Jennifer McGing
 - **Alan Konn** is a Member of Uhlmann Price Securities, LLC and an Investment Advisor of Uhlmann Investment Management; 23 years of investment industry experience
 - **Myles Wagner**, Analyst, provides investment research, portfolio modeling and support in portfolio construction
 - **Jennifer McGing**, Client Service Manager ,provides various levels of support for the team and our clients.

* Please see footnotes on page 16

Investment Goals-

While results cannot be guaranteed, our approach seeks to:

- Generate higher risk-adjusted returns for each portfolio by utilizing proper asset allocation, diversification and risk management
- Provide value-added portfolio characteristics and return advantages to comparable traditional portfolios
- Utilize investments that have historically provided capital preservation potential
- Employ non-correlating investments which historically have increased a portfolio's return while decreasing volatility

“introducing non-correlating investments into a traditional portfolio of stocks, bonds and cash can reduce volatility and increase returns”

Benefits of Commodity Investment 2005

Process – Asset Allocation

“asset allocation makes up 91.5% of an investor’s variance of portfolio returns”

-Financial Analysts Journal May/June 1991.

- **Objective:** utilize core investments as the foundation and add in the unique benefits of “defensive growth” and non-traditional investments

“Defensive Growth”

- Equity Like returns
- Enhanced Income
- Downside protection

The Foundation:

- Core investments-
equities for growth
and bonds for
income and stability

Non-traditional investments

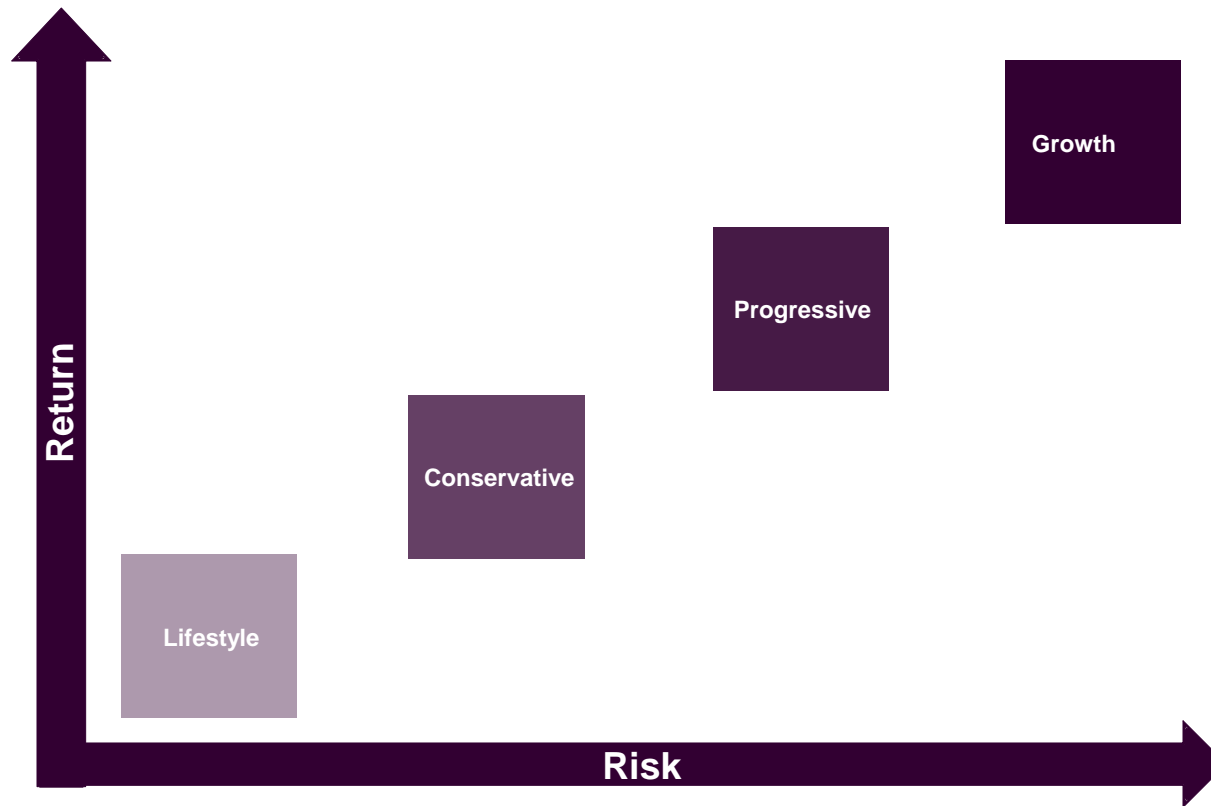
- Non-correlations
- Risk reduction
- Increased returns

Client Approach-

- Create portfolios with each client's risk tolerance and financial objectives in mind
- Empathy for a client's investment goals and concern for capital preservation
- As an independent Registered Investment Advisor, portfolios are managed without bias towards "house products"
- Clients deserve an Advisor with an expanded knowledge of investments
- Respect for each client, seeking trust in every relationship

Results-

Value-added portfolios to meet your specific objectives

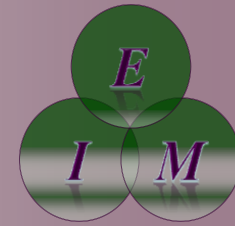


- Return Objectives
- Risk Tolerance
- Capital Preservation

Summary – the value proposition

- Dedicated client-focused approach
- A balance between return, risk and capital preservation
- The foundation of proper asset allocation, diversification and risk management
- In-depth experience in the strategic use of non-traditional investments
- The knowledge that non-correlation is key to enhancing a portfolio's characteristics
- A conservative, yet “long-term optimistic” investment style

Equilibrium Investment Management



- How to access us:
 - Comprehensive Portfolio- a complete portfolio relationship to manage all of a client's investment assets:
 - in a traditional approach of asset allocation, or
 - with an enhanced approach also utilizing non-traditional investments
 - Compliment Portfolio- investment management of a specific portion of a client's entire portfolio to focus on the benefits of both non-traditional and defensive equity

Alan Delcorse, Managing Director

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w: www.upsecurities.com/equilibrium.htm

t: 312-264-4404

c: 630-215-3319

Chicago Board of Trade

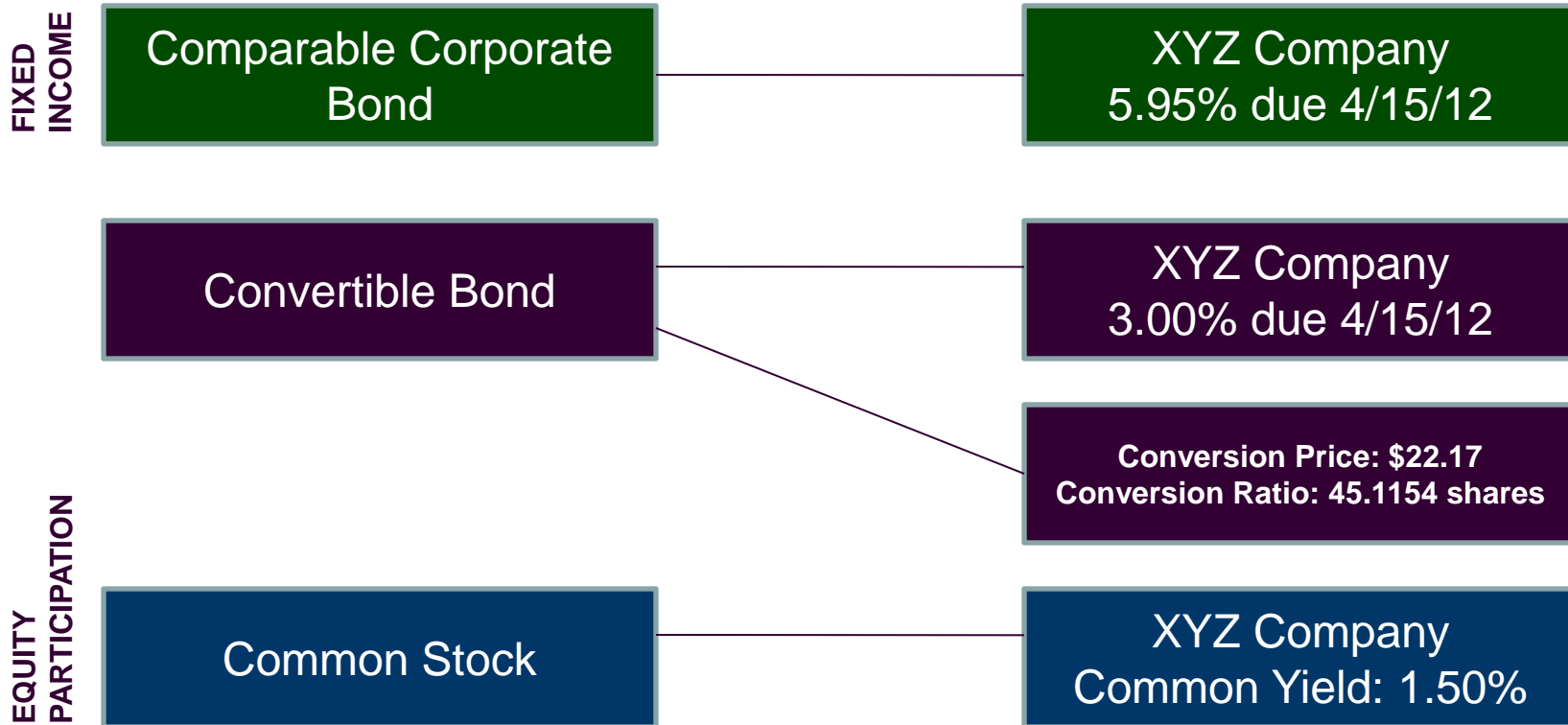
141 W. Jackson Blvd. Suite 1340A

Chicago, Illinois 60604

Appendix:

- Convertible Securities
- Enhanced Equity-buy/write program
- Managed Futures
- Commodity Index

Convertible Securities

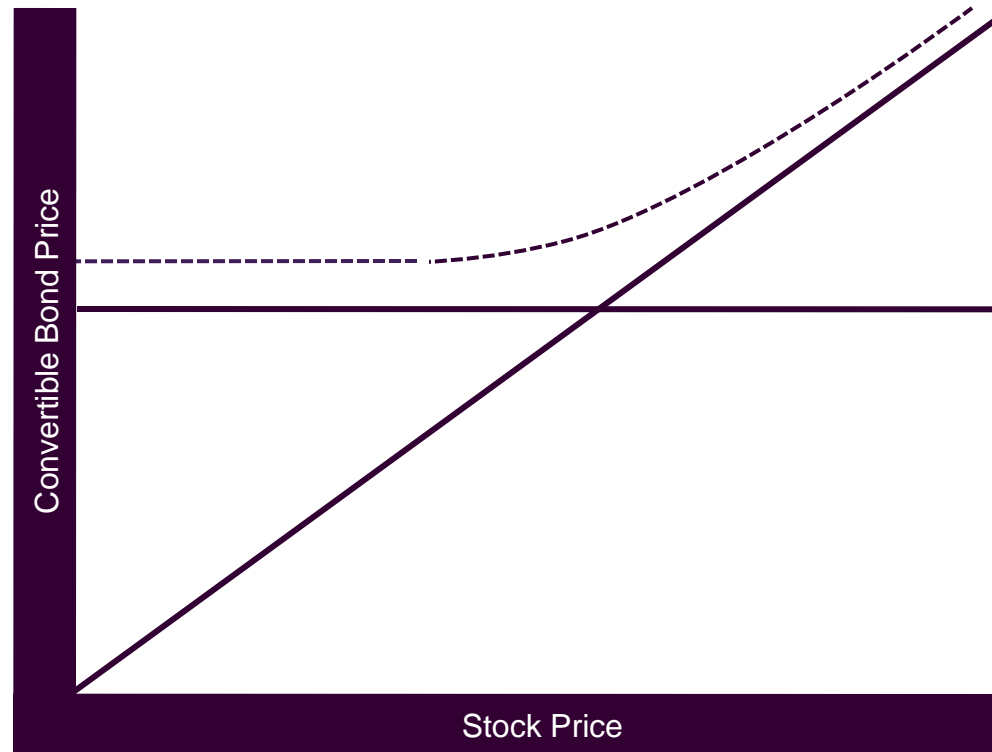


A convertible **combines** the potential cash flow of a bond and the capital appreciation potential of common stock in **one investment**.

Convertible securities: The latest Instruments, Portfolio Strategies, and Valuation Analysis-John Calamos

Convertible Securities

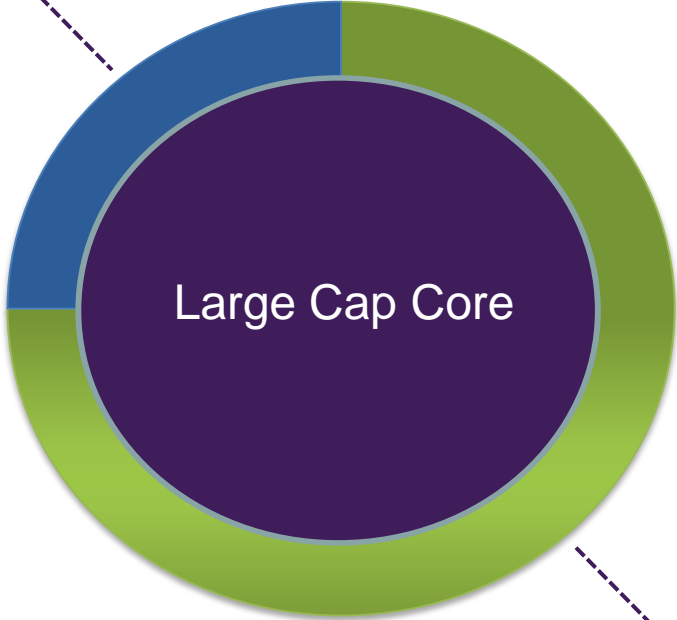
Relationship of
Bond, Stock and
Convertible Value



The above example is for illustrative purposes only and does not represent the past or future performance of any security or strategy.

Enhanced Equity Fund- Buy/Write example

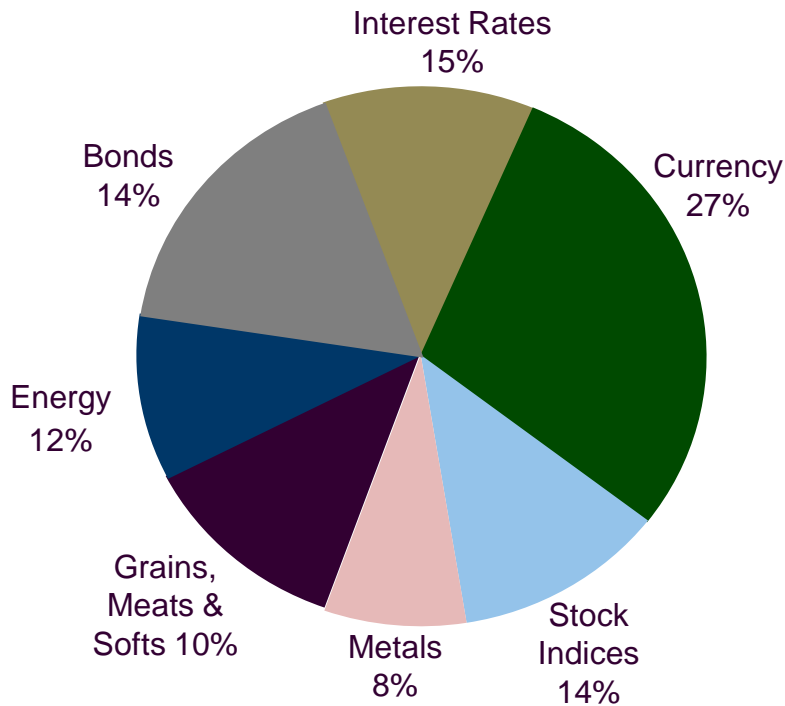
Protective Puts 0 – 25%



Written Calls 25 – 75%

Schematic Illustration. Allocations may be significantly different than those shown here.

Managed Futures

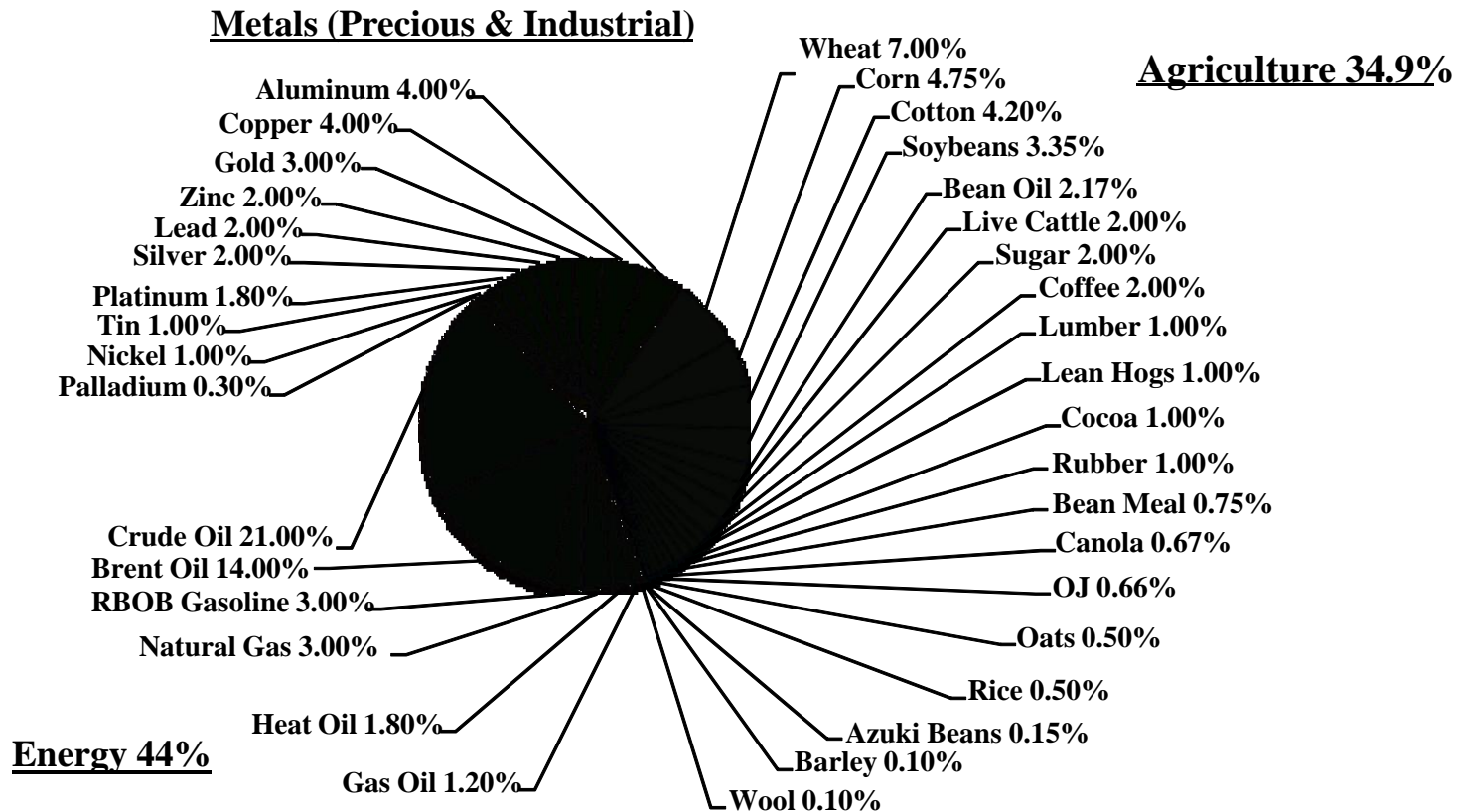


Futures markets included by not limited to:

Interest Rates & Bonds	Currency	Stock Indices	Metals	Energy	Grains, Meats & Softs
US T-Bond, 30-year	Japanese Yen	Amsterdam Index	Aluminum	Brent Crude	Coffee
US T-Note, 10-year	Euro	CAC40 10 Euro	Copper	Crude Oil	Barley
US Agency, 10-year	British Pound	DAX German Index DTB	Gold	Gas Oil	Canola
US 5-year	Swiss Franc	FTSE 100 Index	Lead	Heating Oil	Feeder Cattle
US 2-year	Australian Dollar	Nasdaq Stock Index	Nickel	Kerosene	Cocoa
Eurodollar, 3-month	Singapore Dollar	Nikkei 225 Osaka	Tin	Natural Gas	Corn
Bund	New Zealand Dollar	S&P 500 Stock Index	Palladium		Cotton
Bobl	Norwegian Kroner	Eurostoxx Index	Silver		Orange Juice
Euro 2-year	Czech Krona	MSCI Taiwan Index			Lean Hogs
Euro Euribor, 3-month LIFFE	Mexican Peso	Russell 2000 Mini			Lumber
Euro Swiss	Polish Zloty				Maize
Long Gilts (LIFFE)	South African Rand				Milk
Short Sterling, 3-month LIFFE	Thai Baht				Rubber
JPN Got Bond, 10-year	AUD/JPN Cross				Soybeans
Euro-yen, 3-month TIFFE	EUR/JPY Cross				Sugar
AUS 10-year Bond (SFE)	GBP/JPY Cross				Wheat
AUS 3-year Bond (SFE)	EUR/AUD Cross				
AUS Bank Bills, 90 day (SFE)	EUR/CAD Cross				
CAN Bankers Acceptance	AUD/CHF Cross				
CAN Got Bond, 10-year MO	EUR/GBP Cross				

Commodity index

Rogers International Commodity Index®



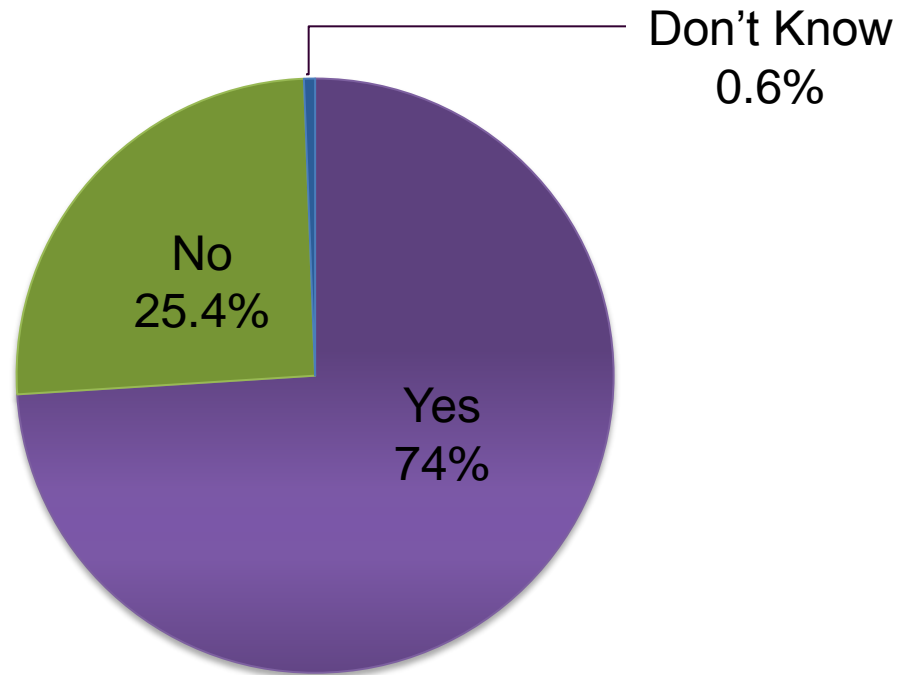
* As of August 2009

Disclaimers and information on RBC Correspondent Services

- **The information presented is not intended to and shall not in any way constitute an invitation to invest in any particular product or security. It is solely for information purposes.**
- **There are substantial risks to investing in managed futures funds, including that your ability to redeem interests of these funds is limited; that no secondary market exists to trade such interests; and that their high fees and expenses may completely offset any profits or gains. These investments are suitable only for those that can understand and assume these risks.**
- **Past performance is no guarantee of future results.**
- **RBC Correspondent Services (RBC CS) is a division of RBC Capital Markets Corporation, member NYSE/FINRA/SIPC. RBC Capital Markets Corporation is a member of the NYSE, AMEX, CHX, CBOE and PSE and has execution capabilities on all principal exchanges. RBC Capital Markets Corporation is owned by Royal Bank of Canada, which trades under the symbol RY on the New York Stock Exchange.**
- **CASAM CISDM CTA Asset Weighted Index (CTA Index): The CISDM CTA Asset Weighted Index reflects the dollar-weighted performance of Commodity Trading Advisors (CTAs) reporting to the CISDM Hedge Fund/CTA Database. CTAs trade a wide variety of OTC and exchange traded forward, futures and options markets (e.g., physicals, currency, financial) based on a wide variety of trading models. In order to be included in the asset weighted index universe, a CTA must have at least \$500,000 under management and at least a 12-month track record. The index goes back historically to January 1980.**

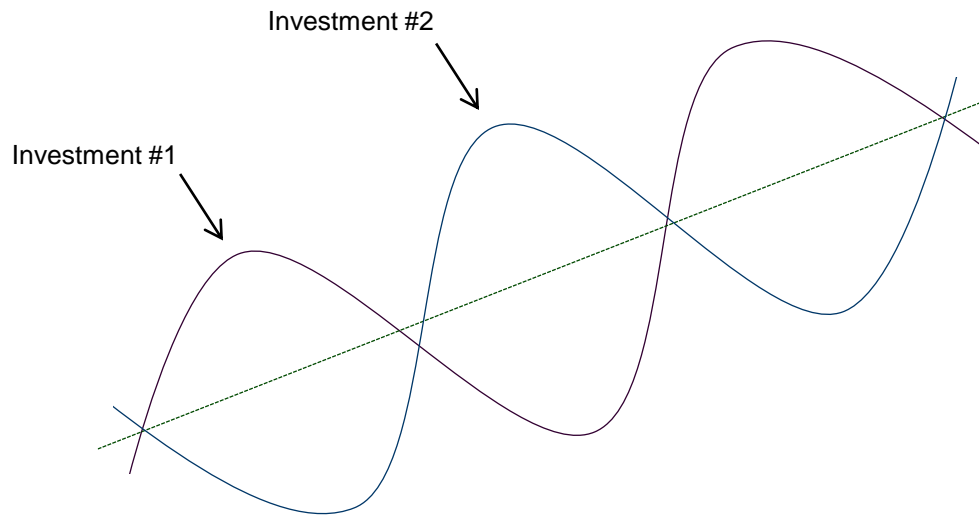
Asset Allocation

Did you recently, or are you currently, reevaluating the asset allocation strategy you typically use/recommend?



Source: FPA Research Center's 2009 Trends in Investing study

Benefits of Non-correlation



- Investment #1 has a completely different pattern of return from Investment #2
- With an upward trending market the result is to enjoy that trend without the volatility
- It is unlikely to find investments with such a negative pattern of correlation